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OIPE			Application Serial Number		10/64	10/646,353					
	(5)		Filing Date		Augu	August 22, 2003					
	SEP 2 4 2004 N		First Named Inventor		Leitz	Leitz					
	TRANSMITTA	L	Group Art U	Jnit	2826						
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	FORM		Attorney Do			058B					
			Patent No.		Not A	Not Applicable					
			Issue Date		Not A	applicable					
ENCLOSURES (check all that apply)											
☐ Fe	e Transmittal Form		Copy of Notice to File Missing			Notice of Appeal to Board					
	☐ Check Attached		Parts of Applic			of Patent Appeals and Interferences					
	Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)					
	Amendment/Response		Request For C Examination (Status Inquiry					
	☐ Preliminary ☐ After Final		Transmittal		\boxtimes	Return Receipt Postcard					
	☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson		Power of Attor (Revocation of	rney f Prior Powers)	\boxtimes	Certificate of First Class Mailing under 37 C.F.R. 1.8					
	including Drawings [Total Sheets]		Terminal Disclaimer			Certificate of Facsimile Transmission under 37 C.F.R. 1.8					
	Petition for Extension of Time		of Attorney for	xecuted Declaration and Power Attorney for Utility or Design atent Application		Additional Enclosure(s) (please identify below)					
☒	Supplemental Information Disclosure Statement		Small Entity Statement								
	Form PTO-1449 Copies of IDS Citations (B52-B55 and C136-C138)		CD(s) for large table or computer program								
	Certified Copy of Priority Document(s)		Amendment A	fter Allowance							
			Request for Certificate of Correction Certificate of Correction (in duplicate)								
CORR	ESPONDENCE ADDRESS		-	SIGNATURE BLO	OCK_	D					
Direct a	Testa, Hu High Stre 125 High Boston, M Tel. No.:			Date: September 22 Reg. No. 50,773 Tel. No.: (617) 248- Fax No.: (617) 248-	7453	Mark L. Belobordiov Attorney for applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110					



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Leitz et al.

CONFIRMATION NO.: 8603

SERIAL NO.:

10/646,353

GROUP NO.:

2826

FILING DATE:

August 22, 2003

EXAMINER:

Not Yet Assigned

TITLE:

Semiconductor Heterostructures Having Reduced Dislocation Pile-Ups

and Related Methods

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed before the mailing of the first Office action on the merits. Applicants believe no fees are due for this paper to be entered and considered, but the Commissioner is hereby authorized to charge Deposit Account No. 20-0531 for any required fees that may be due.

Date: September 4, 2004

Reg. No. 50,773

Tel. No.: (617) 248-7453 Fax No.: (617) 248-7100

3121297-1

Respectfully submitted,

Mark L. Beloborodov Attorney for Applicants

Testa, Hurwitz & Thibeault, LLP

High Street Tower 125 High Street Boston, MA 02110

FORM PTO -	1449	DEMARK		ATTORNEY DOCKET NO.: ASC-058B							
	ITAL INFORM		APPLICA		Leitz et al.						
DISCLOSUR	E STATEMEN'	Γ		SERIAL N		10/646,353 August 22, 2003					
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		GROUP:		2826							
		U.S	. PATENT	DOCUM	ENTS						
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME			CLASS			FILING DATE IF		
		FORE	GN PATE	NT DOCU	MENTS	<u>. </u>		L			
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY		ENGLISH LANG (Y/N)		
B52	02/13262	02/14/2002	wo				N		Y		
B53	03/105189	12/18/2003	wo				N		Y		
B54	0 353 423	02/07/1990	EP				N		Y		
B55	1 014 431	06/28/2000	EP				Y		N		
		OTHER A	RT, JOURN	NAL ART	ICLES, I	ETC.	•				
EXAM. OTH INIT.	ER DOCUMEN	rs: (Including	Author, Title	e, Date, Rele	evant Page	es, Place of	Publicatio	n)			
C136	C136 International Search Report for International Patent Application No. PCT/US03/26467, dated July 1 2004, 3 pages.										
C137	78(4):454-45	Luo et al., "Compliant effect of low-temperature Si buffer for SiGe growth," Applied Physics Letters, 78(4):454-456 (2001).									
C138	Vyatkin <i>et al.</i> , "Study of Strain Relaxation in Epitaxial Structure GE _{0.2} SI _{0.8} /SI At Thermo-Implantation Treatment by Ion Beam Channeling," <u>Mat. Res. Soc. Symp. Proc.</u> , 585:183-189 (2000).										
EXAMINER		DATE CONSIDERED									

3121294-1





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and Related Methods

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22 day of September, 2004.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Supplemental Information Disclosure Statement (1 pg.);
- 3. Form PTO-1449 (1 pg.);
- 4. Copies of cited references B52-B55 and C136-C138; and
- 5. Return receipt postcard.